

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Steven J. Tu, et al.

Application No.: 10/039,587

Filed: December 31, 2001

For: MECHANISM FOR HANDLING
RACE CONDITIONS IN FRC-
ENABLED PROCESSORS

Examiner: Mujtaba M. Chaudry

Art Unit: 2133

Confirmation No.: 2260

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

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Sir:

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Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made

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and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

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- 37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:
- A statement pursuant to 37 C.F.R. §1.97(e) or
- A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).
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- (1) A statement pursuant to 37 C.F.R. §1.97(e); and
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If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: February 25, 2005



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Substitute for Form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

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of

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Attorney Docket Number

10/039,587

Application Number	10/039,587
Filing Date	12/31/01
First Named Inventor:	Steven J. Tu
Art Unit	2133
Examiner Name	Mujtaba M. Chaudry
Attorney Docket Number	42390P12013

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached.

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GSA FPMR (41 CFR) 101-11, GPO Docket No. 2001-11452.

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Complete if Known

Application Number	10/039,587
Filing Date	12/31/01
First Named Inventor:	Steven J. Tu
Art Unit	2133
Examiner Name	Mujtaba M. Chaudry

Sheet 2 of 2 Attorney Docket Number 42390P12013

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
		Sun Microelectronics, MicroSPARC-IIep, Introduction to JTAG Boundary Scan, White Paper January 1997 (10 pgs)	
		Joel M. Tendler, Steve Dodson, Steve Fields, Hung Le, Balaram Sinharoy, IBM Server Group, IBM eServer, POWER4 System Microarchitecture, Technical White Paper, 10-2001, pgs. 1-33	
		David J.C. Johnson, Fort Collins Microprocessor Lab, HP's Mako Processor, 10/16/01, 16 pgs	

Examiner Signature	Date Considered
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